

# Course Approval Form

For instructions see: http://registrar.gmu.edu/facultystaff/catalog-revisions/course/

Action Requested:	_			Course Lev	el:
X Create new course	Inactivate existing course	Reinstate in	nactive course	X Undergra	aduate
Modify existing course (check a	II that apply)				
Title Credits		Grade Typ	е	Graduate	е
Prereq/coreq Sched	ule Type Restrictions				
Other:	,, <u> </u>				
College/School: COS		Department:	ESP		
Submitted by: Ingrid Vissere	n-Hamakers	Ext: 35805		mail: ivisse	re@gmu.edu
inglia vissere	I-Hamakers	<b>LAL.</b> 33000	<u>,                                    </u>	man. Masse	re e griid.edd
Subject Code: EVPP N (Do not list multiple codes or numbers. Ea have a separate form.)		Effective Term	Fall X Spring Summer	Year 2	016
Title: Current			Fulfille Mae	on Core Req?	(undergrad only)
	011110: 1:			<del>-</del>	
Banner (30 characters max w/ space	·	ernance		fulfills requireme	nt
New Global Biodiversi	ty Governance		Submissio	n in progress	
Credits: X Fixed 3 Variable	Repeat Status: (check one)		table (NR) e within degree (R e within term (RT)	D) Maximum allowed:	credits 3
Grade Mode: X Regular (A, B,	C, etc.) Schedule 1	Гуре: X L	ecture (LEC)	Indeper	ndent Study (IND)
check one) Satisfactory/No	Credit (check one)	" □ L	ab (LAB)		ır (SEM)
Special (A, B C	C, etc. +IP) LEC can include	de R	ecitation (RCT)	Studio (	(STU)
	LAB or RCT	Ir	nternship (INT)		
	_			_	
Prerequisite(s):	Corequisite(s):			<u>Instruction</u>	
One (environmental) social science	ce			X 100% fac	e-to-face
course.				Hybrid: ≤	50% electronically delivered
				100% ele	ctronically delivered
Restrictions Enforced by Syste	m: Major, College, Degree, Pr	rogram, etc. Inc	lude Code.	Are there ed Yes [ If yes, please	quivalent course(s)?  X No list
Catalog Copy for NEW Cours	ses Only (Consult University Ca	atalog for models)	1		
<b>Description</b> (No more than 60 words	use verb phrases and present te	nse)	Notes (List addit	tional information	n for the course)
Study global biodiversity governance					PP 575. Undergraduate
Regime and governance literature, ar					aded according to a different
intergovernmental policy, such as bio			rubric than the g	raduate students	S.
and certification standards, such as the					
examined, with guest lecturers and a					0000
Indicate number of contact hours:	Hours of Lecture or Sei		3	Hours of Lab or	Studio:
When Offered: (check all that apply)	Fall Summer	X Spring			
Approval Signatures					
Department Approval	Doto	College/Scho	ol Approval		Data
Departinent Approval	Date	College/Sch	oi Appioval		Date
If this course includes subject matt					e this proposal for review by
those units and obtain the necessary	signatures prior to submission. Fa	ilure to do so will	delay action on th	is proposal.	<u> </u>
Unit Name	Unit Approval Name	Unit Approv	er's Signature		Date
			-		
For Graduate Courses O	nly				
Graduate Council Member	Provost Office			Graduate Cou	ncil Approval Date
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For Registrar Office's Use Only: Banner_	Ca	atalog			revised 10/16/1

#### **Course Proposal Submitted to the College of Science Curriculum Committee (COSCC)**

The form above is processed by the Office of the University Registrar. This second page is for the COSCC's reference.

Please complete the applicable portions of this page to clearly communicate what the form above is requesting.

#### FOR ALL COURSES (required)

Course Number and Title: EVPP 475

Date of Departmental Approval:

#### FOR INACTIVATED/REINSTATED COURSES (required if inactivating/reinstating a course)

Reason for Inactivating/Reinstating:

#### **FOR MODIFIED COURSES** (required if modifying a course)

- Summary of the Modification:
- Text before Modification (title, repeat status, catalog description, etc.):
- Text after Modification (title, repeat status, catalog description, etc.):
- Reason for the Modification:

#### **FOR NEW COURSES** (required if creating a new course)

Reason for the New Course:

The BS program Environmental Science, the BA program Environmental and Sustainability Studies, the BS program Global and Environmental Change, the Minor Environmental Policy, and the Minor Sustainability Studies lacked a course dedicated to discussing theories of the political and policy sciences and biodiversity-related policies at the international level. This course fills this gap.

• Relationship to Existing Programs:

The course fits well into the existing portfolio of social scientific courses in the BS program Environmental Science, the BA program Environmental and Sustainability Studies, the BS program Global and Environmental Change, the Minor Environmental Policy, and the Minor Sustainability Studies.

Relationship to Existing Courses:

It has been ensured that there is no overlap with other policy-oriented courses in the BS program Environmental Science, the BA program Environmental and Sustainability Studies, the BS program Global and Environmental Change, the Minor Environmental Policy, and the Minor Sustainability Studies.

- Semester of Initial Offering: Spring 2016 as EVPP 475 (Spring 2015 as EVPP 490)
- Proposed Instructors: Dr. Ingrid Visseren-Hamakers
- Insert Tentative Syllabus Below

### **Syllabus**

## **Global Biodiversity Governance**

## **EVPP 475 / EVPP 575**

### Department of Environmental Science and Policy

## **Spring 2016**





#### Syllabus Global Biodiversity Governance Spring 2016

#### Introduction

Welcome to the course Global Biodiversity Governance! Biodiversity governance implies that all actors in society - government, business, and civil society - have a role in conservation. This course discusses the theory and empirical examples of this contemporary steering of the conservation and sustainable use of natural resources.

#### **Instructor**

Dr. Ingrid Visseren-Hamakers Email: <u>ivissere@gmu.edu</u> Phone: (703) 993-5805

Office: David King Hall room 3019

Office hours: on appointment

#### **Course prerequisites**

Having taken a minimum of one (environmental) social science course.

#### Profile of the course

Aim of the course is to study global biodiversity governance from a political/policy science perspective. It introduces regime and governance literature, and empirical examples, including intergovernmental policy such as biodiversity-related climate change policy (REDD+), and private instruments, including certification standards such as the Forest Stewardship Council (FSC). The course also looks at the relationships between various public and private policies, as shown by the course logo on the front page. Main activities include (guest) lectures and a simulation of an intergovernmental negotiation.

You will also be invited to (further) develop your own views on contemporary governance issues, especially through writing a paper in which the theoretical and empirical aspects of governance will be combined. In the paper you will practice applying policy relevant social scientific concepts (e.g. policy effectiveness, stakeholder participation, power relations). As this is a prerequisite skill for writing a social scientific thesis, the course represents an important building block for preparing students for their thesis.

#### **Course Relationship to Existing Programs**

The course can be taken by any student meeting the prerequisite demands, but is especially designed to be part of the following undergraduate and graduate programs. However, check with your advisor on the relevance in your specific program.

- Environmental Science and Policy, M.S., all concentrations, but especially:
  - Conservation Science and Policy (COSP)
  - o Environmental Science and Policy (EVSP)
  - o Environmental Management (EVMG)

- Environmental Science and Public Policy, Ph.D.
- Environmental and Sustainability Studies, B.A.
- Environmental Science, B.S., all concentrations, but especially:
  - o Conservation (CNSV)
  - Human and Ecosystem Response to Climate Change (HERC)
- Global and Environmental Change, B.S.
- Biology, B.S.
- Environmental Policy minor
- Sustainability Studies minor

#### **Scheduling**

The course will be given 19.20-22.00 on Wednesdays in room 1107 in the Nguyen Engineering building on the Fairfax campus.

#### **Credits**

3 credits

#### **Course Objectives**

Objective of the course is to study international public and private biodiversity-related policy from a political/policy science perspective. Learning outcomes are the following. After this course students are expected to be able to:

- 1. Discuss the main governance theories;
- 2. Explain empirical examples of global biodiversity governance;
- 3. Theoretically analyze an empirical case;
- 4. Debate different approaches to governance;
- 5. Give their opinion on governance.

#### **Educational activities**

The course includes the following activities:

- Preparing for and attending (guest) lectures;
- Participation in discussion groups and simulation;
- Writing a paper.

The course is roughly divided into two parts. During the first part of the course, the main aim is to become acquainted with the content of the course, global biodiversity governance. The content will be provided through literature, (guest) lectures, group discussions, and the simulation. During the second part of the course, the focus will be on applying the learned material yourself by writing a paper.

Governance *theory* is presented through academic publications (see learning materials below). Students are expected to read these papers before the lectures. The publications are also introduced in lectures and some will be discussed in groups. The group discussions are meant to develop a thorough understanding of the literature (learning outcome 1), provide an opportunity to discuss different visions on governance in order to (further) develop your own views on the issue (learning outcomes 4 and 5), and practice

the application of a theoretical analysis (learning outcome 3). Of course there will also be plenty of opportunities for discussion during the lectures.

Most of the *empirical examples* (learning outcome 2) will be introduced through guest lectures by practitioners, lectures by the instructor, and the simulation. Of course the literature also discusses empirical examples. Several publications in the literature list (especially the additional reading) are also meant to prepare for the guest lectures.

In the paper, you will *apply the theoretical approaches* introduced in the literature to analyse an empirical case (learning outcome 3), and you will present and make the argument for your *own vision* on governance (learning outcome 5). The development of the paper includes 3 steps: 1) a very short idea note presenting your ideas for the paper; 2) a proposal for the paper; 3) the final paper. You will receive feedback from fellow students and the lecturer during all 3 steps.

#### **Examination**

In principle all activities of the course are mandatory. This is necessary since all aspects of the course are meant to provide input for the written assignment (the paper) and the exam. Participation in all lectures is a prerequisite for passing the course. One meeting can be missed due to illness or unforeseen circumstances, if you inform the instructor by email before the meeting. Handing in 3 short assignments on the literature is also a prerequisite to pass the course.

The examination has two elements: a written assignment and a written exam. Both count for 50% of the final grade. The minimum grade to pass for both is 60 points.

The exam contains short-answer questions. The exam can cover all aspects of the course: required literature, (guest) lectures, and the lecture on the simulation.

You will receive further instructions on the written assignment during the course.

#### Assessment strategy

Learning outcomes		Written	Written exam
		assignment	
1.	Discuss the main governance		X
	theories		
2.	Explain empirical examples of		X
	global biodiversity governance		
3.	Theoretically analyze an empirical	X	
	case		
4.	Debate different approaches to	X	X
	governance		
5.	Give their opinion on governance	X	
	Contribution to final mark (%)	50	50

#### **Grading**

Summarizing, in order to pass the course, the demands are the following:

- 1. Presence during lectures (no grade, but prerequisite to pass)
- 2. Handing in 3 short assignments on literature (no grade, but prerequisite to pass)
- 3. Written assignment
- 4. Written exam

The grading differs for the 475 and 575 levels of the course. For both levels, an important aspect of the grade for the written assignment will be how you apply a conceptual framework. On the exam, your understanding of both theory and the empirical examples will be evaluated.

Scores will be summed to a 0–100 scale, and then converted into grades (A–F).

Final weighted av	verage score course	Letter grade
EVPP-575	EVPP-475	
90-100	85-100	A
80-89	75-84	В
70-79	65-74	С
	60-64	D
0-69	0-59	F

#### **Academic integrity**

Plagiarism is not accepted. Students are required to be familiar and comply with the requirements of the GMU Honor Code. The software program SafeAssign will be used to check for originality where appropriate.

#### **Disability accommodations**

If you are a student with a disability and you need academic accommodations, please see me and contact the Office of Disability Services (ODS) at 993-2474, <a href="http://ods.gmu.edu">http://ods.gmu.edu</a>. All academic accommodations must be arranged through the ODS.

#### The principal themes of the course

The central theme of the course is global biodiversity governance. Governance includes both public initiatives (governmental policy), and private initiatives (e.g. certification). The course includes both the theory and empirical examples of these public and private initiatives.

The first main subtheme is thus *international biodiversity policy*. The course will provide an overview of the main intergovernmental policies and the different views (or discourses) that have dominated international biodiversity policy over time. We will also take a closer look at a few examples of intergovernmental policy, including the United Nations Framework Convention on Climate Change (UNFCCC), with a focus on REDD+ (reducing emissions from deforestation and forest degradation). The course also includes

a simulation game, in which you will gain hands-on experience in international negotiations on forest policy. The main body of literature for the theme of international forest and nature policy is *regime literature*. Main issues in this body of literature include regime effectiveness and regime interaction.

The second main subtheme is *international private initiatives for biodiversity*. One of the main private governance instruments is certification. The main body of literature for the governance theme is, logically, *governance literature*, which discusses different aspects of the trend to increasingly use private instruments instead of government policy, the so-called 'shift from government to governance'. Important specializations within governance literature are the partnership literature and certification literature. The former discusses the prominent governance instrument of partnerships, which are defined as collaborations between government, market and/or civil society actors.

#### Learning materials and resources

The main learning material for the course is the academic literature (see list below). The literature is divided into exam material and additional reading (not exam material). The additional reading is especially meant to provide more background information to use in the written assignment. Links to all publications are provided below, with one publication available through Blackboard. The list below also provides information on the theoretical concepts and empirical examples different articles discuss. This information is meant to support your choices for the written assignment. The PowerPoint presentations of the lectures will be published on Blackboard after the lectures. The material for the simulation will be provided during the lectures.

Nr.	Publication	Theoretical concepts	Empirical examples		
Requ	equired literature (exam material)				
1.	Abbott, K. W. and D. Snidal (2010). International regulation without international government: Improving IO performance through orchestration. The Review of International Organizations 5: 315-344. http://dx.doi.org/10.1007/s11558-010-9092-3		Partnerships		
2.	Arts, B., M. Appelstrand, D. Kleinschmidt, H. Pülzl and I. Visseren-Hamakers (2010). Chapter 4. Discourses, actors and instruments in international forest governance. In: Embracing complexity: Meeting the challenges of international forest governance. A global assessment report. Prepared by the Global Forest Expert Panel on the International Forest Regime. J. Rayner, A. Buck and P. Katila (Eds). Vienna, Austria. IUFRO World Series Volume 28: 57-74. http://www.iufro.org/science/gfep/forest-regime-panel/report/download-chapter/	Discourse			
3.	Gehring, T. and S. Oberthür (2009). The Causal Mechanisms of Interaction between International Institutions. European Journal of International Relations 15(1): 125-156. http://dx.doi.org/10.1177/1354066108100055	Institutional interaction, effectiveness			
4.	Humphreys, D. (2009). Discourse as ideology: Neoliberalism and the limits of international forest policy. Forest Policy and Economics 11: 319-325. http://dx.doi.org/10.1016/j.forpol.2008.08.008	Discourse, neoliberalism			
5.	Jóhannsdóttir, A., I. Cresswell and Peter Bridgewater (2010). The Current Framework for International Governance of Biodiversity? Is It Doing More harm Than Good? RECIEL 19(2): 139-149. (Section on environmental law methodology, p. 141 NOT exam material) http://dx.doi.org/10.1111/j.1467-9388.2010.00673.x		International biodiversity conventions		
6.	Kuindersma, W., B. Arts & M.W. van der Zouwen (2012) Power faces in regional governance, Journal of Political Power, 5:3, 411-429.  (Sections on Methods and case introduction & case study, pp.416-424 NOT exam material) <a href="http://dx.doi.org/10.1080/2158379X.2012.735116">http://dx.doi.org/10.1080/2158379X.2012.735116</a>	Power			

Nr.	Publication	Theoretical concepts	Empirical examples
7.	Mitchell, R. B. (2003). International Environmental Agreements: A Survey of Their Features, Formation, and Effects. Annual Review of Environment and Resources 28: 429-461.	Effectiveness	
	http://dx.doi.org/10.1146/annurev.energy.28.050302.105603		
8.	Reed, M.S. (2008). Stakeholder participation for environmental management: A literature review. Biological	Participation	
	Conservation 141: 2417-2431.		
	http://dx.doi.org/10.1016/j.biocon.2008.07.014		
9.	Take, I. (2012). Benchmarking International, Transnational, and Private Governance in the Forest Sector.	Legitimacy	Forest
	International Studies Perspectives 14: 150-175.		certification
	http://dx.doi.org/10.1111/j.1528-3585.2012.00474.x		
10.	Van Kersbergen, K. and F. Van Waarden (2004). 'Governance' as a bridge between disciplines: Cross-	Governance	
	disciplinary inspiration regarding shifts in governance and problems of governability, accountability and		
	legitimacy. European Journal of Political Research 43: 143-171.		
	http://dx.doi.org/10.1111/j.1475-6765.2004.00149.x		
11.	Young, O. R. (2002). Environmental Change: Institutional Drivers, Institutional Responses. The Institutional	Effectiveness,	
	Dimensions of Environmental Change: Fit, Interplay, and Scale. Cambridge, Massachusetts, The MIT Press: 3-	performance,	
	28.	institution	
	See Blackboard	interaction	
Addi	tional reading (not exam material)		
12.	Bernstein, S. and B. Cashore (2007). Can non-state global governance be legitimate? An analytical framework.	Legitimacy	
	Regulation & Governance (1): 347-371.		
	http://dx.doi.org/10.1111/j.1748-5991.2007.00021.x		
13.	Visseren-Hamakers, I. J., A. Gupta, et al. (2012). Will REDD+ work? The need for interdisciplinary science to		REDD+
	address key challenges. Current Opinion in Environmental Sustainability 4(6): 590-596.		
	http://dx.doi.org/10.1016/j.cosust.2012.10.006		
14.	Visseren-Hamakers, I.J. (2013). Partnerships and sustainable development: the lessons learned from		Biodiversity
	international biodiversity governance. Environmental Policy and Governance 23: 145-160.		partnerships
	http://dx.doi.org/10.1002/eet.1612		
15.	ETFRN News 53, April 2012. Moving forward with forest governance.	(Forest)	REDD+,
	http://www.tropenbos.org/publications/etfrn+news+53:+moving+forward+with+forest+governance	governance	FLEGT
	Especially:		
	- Arts, B. and I.J. Visseren-Hamakers. Forest governance: mainstream and critical views. 3-10.		
	- Merckx, V. Introduction to REDD+. 139-140.		
	- Van Helden, F. Introduction to FLEGT, VPAs and the EU Timber regulation. 99-100.		
16.	Lamers, M. et al. 2014. Governing Conservation Tourism Partnerships in Kenya. Annals of Tourism Research		Partnerships
	48: 250-265.		
	http://dx.doi.org/10.1016/j.annals.2014.07.004		

### Outline and schedule of the course

	Date	Topic	Literature (note: AR means additional reading, not
			exam material)
1.	January 21	Introduction to the course	Van Kersbergen and Van Waarden 2004
		• Introduction 3 short assignments	• Young 2002
			Arts & Visseren-Hamakers (ETFRN News) (AR)
2.	January 28	Lecture regime literature	Gehring and Oberthür 2009
		Explanation idea note, proposal & written assignment	• Mitchell 2003
		Hand in assignment 1. Regime literature (through Blackboard)	
3.	February 4	• Lecture governance literature, the concept of discourse & main global	• Arts et al. 2010
		discourses	Humphreys 2009
			Jóhannsdóttir et al. 2010
4.	February 11	Lecture REDD+ and the practice of intergovernmental negotiations	• ETFRN News: articles on REDD+ (AR)
		Hand in idea note for written assignment (printed copies for everyone)	• Visseren-Hamakers et al. 2012 (AR)
5.	February 18	Lecture on the concepts of legitimacy & participation	• Reed 2008
		• Hand in assignment 2. Legitimacy and participation literature (Blackboard)	• Take 2012
		Discussion ideas written assignment	
6.	February 25	Lecture on conservation, tourism and certification partnerships	Abbott and Snidal 2010
		• Hand in assignment 3. Governance & partnership literature (Blackboard)	• Visseren-Hamakers 2013 (AR)
			• Lamers et al. 2014 (AR)
7.	March 4	• Lecture on the concept of power; European Union policy on illegal logging	Kuindersma et al. 2012
		(FLEGT)	Bernstein and Cashore 2007 (AR)
		Group discussion on governance	ETFRN News: articles on FLEGT (AR)
Sprii	ng break March 9-15		
8.	March 18	Guest lecture World Bank (TBC): Financing conservation	
		Hand in proposal (printed copies for everyone)	
9.	March 25	Feedback proposal (in groups and individually)	
10.	April 1	Guest lecture Greenpeace or CEPF (TBC): Certification and partnerships	
11.	April 8	Guest lecture WWF (TBC): Negotiating and implementing REDD+	Simulation hand-outs (AR)
		Hand out simulation instructions & roles	
12.	April 15	Intro simulation	
	_	• Same group meetings (1 <sup>st</sup> part of simulation)	
13.	April 22	Simulation (negotiations) and reflection on simulation	
		Hand in paper (1 printed copy & on Blackboard) & sign in for feedback	
14.	April 29	Individual feedback and grade paper	
15.	May 6	• EXAM: 19.30-22.15	
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